

# Kelley Ann Ellis, CPA, CIA, CGMA, MBA

## PROFESSIONAL WORK EXPERIENCE

### Drake University (Des Moines, IA)

Associate Dean, Student Affairs	July 2023 to Current
Director and Department Chair, School of Accounting	July 2019 to June 2023
Associate Professor of Practice, School of Accounting	July 2019 to Current
Assistant Director, School of Accounting	August 2017 to June 2019
Assistant Professor of Practice, School of Accounting	August 2013 to June 2019

- Responsible for undergraduate admission strategy and the recruiting activities for prospective students, including coordination with the admissions team
- Coordinate marketing and communications with prospective students and their families
- Provide support to current students regarding academic concerns: curriculum modifications, grade appeals, academic integrity, probation & suspension, and CPT/OPT for international students
- Responsible for development and delivery of course content for accounting system and auditing classes within the university at both the graduate and undergraduate level
- Providing guidance to students for career opportunities and development
- Active involvement in out-reach activities in the community as a Drake representative
- Collaboration with fellow professors to share classroom best practices

### MBO Partners (PwC Talent Exchange)

2022 Insurance Controls Consulting Support	October 2022 to December 2022
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Consultant (20hr/week; total project time of approx. 200 hours)

Worked with a local Des Moines insurance company to update and build consistent actuarial model risk/control matrices across all business units to address the new Financial Accounting Standards Board (FASB) Long Duration Targeted Improvements (LDTI) requirements that become effective January 1, 2023.

### KPMG, LLP (Des Moines, IA)

Seasonal Professional Staff (24hr/week)	December 2016 to March 2017
	December 2015 to May 2016

- Perform assurance services for a variety of clients as needed during the “busy season” months, including large clients in the construction, transportation, and property casualty insurance industries.
- Provide technical support to staff to complete the financial statement audit, gather and document evidence to support audit opinion, and coordinate review and delivery of the final audit opinion.
- In-charged a first-year audit engagement for a renewable energy company subject to IFRS reporting requirements to a Spanish parent company.

## **Aviva USA (Des Moines, IA)**

Director of Actuarial Governance

February 2012 to August 2013

- Responsible for developing proper model governance, including controlled and efficient processes for the actuarial model shared services team
- Perform risk analysis and consult regarding management's risk responses and implementation of mitigating controls, as needed
- Coordinate issue closure across the full corporate actuarial team regarding issues identified by the external auditors, internal audit, and the financial controls group
- Participate in ad hoc special projects

Audit Senior Manager, Internal Audit

April 2010 to January 2012

Audit Manager, Financial Management and Actuarial

June 2009 to March 2010

- Responsible for leading and executing audits for technical financial and actuarial audits
- Perform risk analysis and evaluate risk responses and mitigating controls for audits in North America, and limited engagements in the United Kingdom.
- Focus has predominately been corporate governance, management reporting, and strategic initiatives in the areas of credit, market, liquidity, capital management, and modeling.
- Actively participating in governance committees and key management initiatives on behalf of internal audit.

## **Robert Half International, Inc. (Des Moines, IA)**

Division Director, Management Resources

August 2007 to May 2009

Robert Half Finance and Accounting Recruiting Manager

August 2003 to March 2006

- Responsible for marketing and business development
- Recruiting, screening, and presenting candidates to potential employers
- Lead point of contact for multiple major contracts in the Iowa market based on demonstrated leadership: Principal Financial Group, Meredith, Wellmark, John Deere, AEGON, Farm Bureau, and Bandag
- Media contact nationally for print reporters
- Top producer in the Mountain District, ranked in the top 10 employees the first full calendar year of employment
- CPE Instructor providing presentations externally to clients and strategic partners

## **Greater Des Moines Partnership (Des Moines, IA)**

Chief Financial Officer

March 2006 to August 2007

- Responsible for Financial Reporting, Human Resources, and Information Technology
- Prepared quarterly forecast and developed annual budget for approximately 15 departments and 3 legal entities with annual expenditures of \$7M
- Developed initial policies and procedures for the organization and implemented best practices for accountability
- Began groundwork for looking at a new web-based Customer Relationship Database system and \$30M Operating Campaign

## **KPMG, LLP (Des Moines, IA and London, England)**

Audit Senior Manager

July 1989 to October 1997 and  
October 1999 to August 2003

- Management responsibility for approximately 20-30 clients on an annual basis
- Determination of audit approach, assignment of staff, and review of work papers
- Preparation and delivery of final KPMG assurance products and services
- Billing and collection for services rendered
- Mentoring and development of staff
- Industry experience: predominately property and casualty insurance, local governments, mortgage banking, retirement plans, real estate, non-profits, and public utility companies
- Services provided: audits, reviews, compilations, agreed upon procedures, implementation of GASB 34 projects to record long term assets on financial statements of local governments, Statutory to GAAP conversions, SEC reporting (F-1 registration and 10K/10Q filings), internal audit co-sourcing, investigative procedures for employee embezzlements, internal control reviews (COSO), and development of balance scorecards and bench-marking reports

## **Principal International, Inc. (Des Moines, IA)**

Manager of Accounting and Reporting

November 1997 to September 1999

- Initial conversion from local statutory accounting to U.S. GAAP
- Implementation of Hyperion software to automate the foreign currency conversion and consolidation of the financial statements
- Management of day-to-day operations of Des Moines headquarter for Principal International and all corporate accounting and consolidation for eight foreign subsidiaries and two regional offices
- Provide advice and technical support for the annual budget and five year projections
- Coordinate with external auditors for the annual audit

## **INSTRUCTIONAL WORK EXPERIENCE**

### **Becker CPA Review (Des Moines, IA)**

Lead Instructor for Iowa and Nebraska

January 1991 to 2012

### **Drake University (Des Moines, IA)**

Adjunct Instructor, Master of Accountancy Program

Fall Semester 2002 and 2003

## **EDUCATION**

University of Iowa (Iowa City, IA)  
Executive MBA Program  
Graduated in May of 2009

Creighton University (Omaha, NE)  
Bachelors of Science and Business Administration  
Graduated in May of 1989, Magna Cum Laude  
Majors: Accounting and Finance

### Completion of Professional Exams:

Certified Public Accountant (CPA), 1989

Associate in Reinsurance, Insurance Institute of America (ARe), 1994

Fellow, Life Management Institute (FLMI), 2012

Certified Internal Auditor (CIA), 2013

Chartered Global Management Accountant (CGMA), 2015

## PROFESIONAL LEADERSHIP ROLES AND RECOGNITION

- AICPA Working Committee, AICPA Foundational Competencies for Aspiring CPAs, 2022
- AICPA AACSB Practitioner Review Team Member, 2019 to Current
- AICPA Academic Executive Committee, 2020 to Current
- AICPA Academic Champion, 2019 to Current
- Board Member, Financial Executive International, 2005 to 2022
- Board of Directors, Institute of Internal Auditors, 2018 to Current
- Board Member and Committee Chair, Iowa Chapter of Information Systems Audit and Control Association (ISACA,) 2014 to Current
- ISACA President, 2019 to 2021
- ISACA Vice President, 2018
- Committee Member, Iowa Society of CPA's, 2000 to Current
- Member, Institute of Internal Auditors 2010 to Current
- Iowa AEA Career Exploration Development for Accounting Profession
- IIA Academic Chair, 2019-20
- Chair of Professional and Public Relations, Iowa Society of CPA's, 2002-2005
- CPA Ambassador, American Institute of CPA's, 2005 to Current
- Iowa Society of CPA's Outstanding Committee Chair, 2005
- Board Member, Iowa Society of CPA's, 2006 to 2008
- Financial Executive International, President 2009 to 2011 and Secretary 2014 to 2016
- Senior Faculty Award, Becker CPA Review, 2005
- Leadership Iowa, 2006-07

## PUBLICATIONS

CPA Insider (AICPA): ***"Go back to school to help the accounting profession"***, September 23, 2013

Internal Auditor (IIA): ***"Model Governance, Where to Begin?"*** Governance Perspectives, June 2018

*In recognition of exceptional contributions to the regular department sections of the publication, Internal Auditor, this article was selected to receive a 2019 Ted Keys Honorable Mention. The article was selected for its outstanding quality, clear presentation of ideas, practicality, and relevance to the continuing practice of internal auditing.*